

## Optimally Augmenting to Make a Biconnected Graph Four-Edge and Three-Vertex Connected

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### 1 Introduction

Let  $G = (V, E)$  stand for an undirected multigraph with a set  $V$  of vertices and a set  $E$  of edges. The connectivity augmentation problem has been extensively studied as an important problem in the network design problem.

The local edge-connectivity  $\lambda_G(x, y)$  for two vertices  $x, y \in V$  is defined to be the minimum size of a cut in  $G$  that separates  $x$  and  $y$  (i.e.,  $x$  and  $y$  belong to different sides of  $X$  and  $V - X$ ), or equivalently the maximum number of edge-disjoint path between  $x$  and  $y$  by Menger's theorem [1]. The local vertex-connectivity  $\kappa_G(x, y)$  for two vertices  $x, y \in V$  is defined to be the number of internally-disjoint paths between  $x$  and  $y$  in  $G$ . For a given integer  $k$ , we call  $G$   $k$ -edge-connected (resp.,  $k$ -vertex-connected) if  $\lambda_G(x, y) \geq k$  (resp.,  $\kappa_G(x, y) \geq k$ ) holds for every  $x, y \in V$ . Given a multigraph  $G = (V, E)$  and an integer  $k$ , the edge-connectivity augmentation problem, (resp., the vertex-connectivity augmentation problem) asks to augment  $G$  by adding the smallest number of new edges so that the resulting graph  $G'$  becomes  $k$ -edge-connected (resp.,  $k$ -vertex-connected). Recently, many efficient algorithms are developed for solving the edge-connectivity augmentation problem and the vertex-connectivity augmentation problem.

In this paper, we consider the problem of augmenting the edge-connectivity and the vertex-connectivity of a given graph  $G$  simultaneously by adding the smallest number of new edges. For two given integers  $k$  and  $\ell$ , we say that  $G$  is  $(k, \ell)$ -connected if  $G$  is  $k$ -edge-connected and  $\ell$ -vertex-connected. Given a multigraph  $G = (V, E)$ , and two integers  $k, \ell$ , the edge- and vertex-connectivity augmentation problem, denoted by EVAP( $k, \ell$ ), asks to augment  $G$  by adding the smallest number of new edges to  $G$  so that the resulting graph  $G'$  becomes  $(k, \ell)$ -connected. Recently, it is shown in [2] that EVAP( $k, 2$ ) can be solved in polynomial time for an integer  $k$ . In this paper, we show that EVAP( $4, 3$ ) can be solved in polynomial time, if the input graph is 2-vertex-connected.

### 2 Definitions

For a subset  $V' \subseteq V$  in  $G$ ,  $G - V'$  denotes the subgraph

induced by  $V - V'$ . For an edge set  $F$  with  $F \cap E = \emptyset$ , we denote  $G = (V, E \cup F)$  by  $G + F$ . An edge with end vertices  $u$  and  $v$  is denoted by  $(u, v)$ . A partition  $X_1, \dots, X_t$  of vertex set  $V$  means a family of nonempty disjoint subsets of  $V$  whose union is  $V$ , and a subpartition of  $V$  means a partition of a subset of  $V$ . For two disjoint subsets of vertices  $X, Y \subset V$ , we denote by  $E_G(X, Y)$  the set of edges, one of whose end vertices is in  $X$  and the other is in  $Y$ , and also denote  $c_G(X, Y) = |E_G(X, Y)|$ . A cut is defined as a subset  $X$  of  $V$  with  $\emptyset \neq X \neq V$ , and the size of a cut  $X$  is denoted by  $c_G(X, V - X)$ , which may also be written as  $c_G(X)$ . A cut with the minimum size is called a minimum cut, and its size, denoted by  $\lambda(G)$ , is called the edge-connectivity of  $G$ . For a subset  $X$  of  $V$ ,  $\{v \in V - X \mid (u, v) \in E \text{ for some } u \in X\}$  is called the neighbor set of  $X$ , denoted by  $\Gamma_G(X)$ . Let  $p(G)$  denote the number of components in  $G$ . A separator of  $G$  is defined as a cut  $S$  of  $V$  such that  $p(G - S) > p(G)$  holds and no  $S' \subset S$  has this property. If  $G$  does not contain  $K_n$ , then a separator of the minimum size is called a minimum separator, and its size, denoted by  $\kappa(G)$ , is called the vertex-connectivity of  $G$ . If  $G$  contains the complete graph  $K_n$ , we define  $\kappa(G) = n - 1$ . If  $\kappa(G) = 2$ , then we call a minimum separator  $S$  a separating pair in  $G$ .

#### 2.1 Edge-Splitting

We introduce an operation of transforming a graph, called edge-splitting, which is helpful to solve the edge-connectivity augmentation problem.

Given a multigraph  $G = (V, E)$ , a designated vertex  $s \in V$ , vertices  $u, v \in \Gamma_G(s)$  (possibly  $u = v$ ) and a nonnegative integer  $\delta \leq \min\{c_G(s, u), c_G(s, v)\}$ , we construct graph  $G' = (V, E')$  from  $G$  by deleting  $\delta$  edges from  $E_G(s, u)$  and  $E_G(s, v)$ , respectively, and adding new  $\delta$  edges to  $E_G(u, v)$ :  $c_{G'}(s, u) := c_G(s, u) - \delta$ ,  $c_{G'}(s, v) := c_G(s, v) - \delta$ ,  $c_{G'}(u, v) := c_G(u, v) + \delta$ ,  $c_{G'}(x, y) := c_G(x, y)$  for all other pairs  $x, y \in V$ . We say that  $G'$  is obtained from  $G$  by splitting  $(s, u)$  and  $(s, v)$  by size  $\delta$ , and denote the resulting graph  $G'$  by  $G/(u, v; \delta)$ . A sequence of splittings is complete if the resulting graph  $G'$  does not have any neighbor of  $s$ .

The following theorem is proven by Mader [3].

**Theorem 2.1** [3] *Let  $G = (V, E)$  be a multigraph with a designated vertex  $s \in V$  with  $c_G(s) \neq 1, 3$  and  $\lambda_G(x, y) \geq 2$  for all pairs  $x, y \in V$ . Then for any edge  $(s, u) \in E$  there is an edge  $(s, v) \in E$  such that  $\lambda_{G/(u,v;1)}(x, y) = \lambda_G(x, y)$  holds for all pairs  $x, y \in V - s$ .  $\square$*

This says that if  $c_G(s)$  is even, there always exists a complete splitting at  $s$  such that the resulting graph  $G'$  satisfies  $\lambda_{G'-s}(x, y) = \lambda_G(x, y)$  for every pair of  $x, y \in V - s$ .

### 3 EVAP(4, 3) for a 2-Vertex-Connected Graph

We now present a polynomial time algorithm for EVAP(4,3) for a given 2-vertex-connected graph.

Let  $\beta(G) \equiv \max\{p(G - S) - 1 + \max\{0, \max\{4 - c_G(v_1), 4 - c_G(v_2)\}\} \mid S = \{v_1, v_2\}$  is a separating pair in  $G\}$ . To make a graph  $G$  (4, 3)-connected, it is necessary to add at least  $4 - c_G(X)$  edges to  $E_G(X, V - X)$  for each cut  $X$ , to add at least  $3 - |\Gamma_G(X)|$  edges to  $E_G(X, V - X)$  for each cut  $X$  with  $V - X - \Gamma_G(X) \neq \emptyset$ , and to add at least  $p(G - S) - 1 + \max\{0, \max\{4 - c_G(v_1), 4 - c_G(v_2)\}\}$  edges to connect components of  $G - S$  for each separating pair  $S = \{v_1, v_2\}$  in  $G$ .

**Lower Bound:**  $\gamma(G) \equiv \max\{\lceil \alpha(G)/2 \rceil, \beta(G)\}$ , where

$$\alpha(G) = \max \left\{ \sum_{i=1}^p (4 - c_G(X_i)) + \sum_{i=p+1}^q (3 - |\Gamma_G(X_i)|) \right\}$$

and the max is taken over all subpartitions  $\{X_1, \dots, X_p, X_{p+1}, \dots, X_q\}$  of  $V$  such that  $q \geq p \geq 0$  and  $V - X_i - \Gamma_G(X_i) \neq \emptyset$ ,  $i = p + 1, \dots, q$ .  $\square$

For a subset  $F$  of edges in a graph  $G$ , we say that two edge  $e_1 = (u_1, w_1)$  and  $e_2 = (u_2, w_2)$  are *switched* in  $F$  if we delete  $e_1$  and  $e_2$  from  $F$ , and add edges  $(u_1, u_2)$  and  $(w_1, w_2)$  to  $F$ , and that an edge  $e_1 = (u_1, w_1)$  is *shifted* in  $F$ , if we delete  $e_1$  from  $F$  and add an edge  $(u_1, w_2)$  ( $w_1 \neq w_2$ ) to  $F$ . The sketch of our algorithm for solving the EVAP(4, 3) for a 2-vertex-connected graph, denoted by Algorithm EVA3, is given as follows.

#### Algorithm EVA3

**Input:** An undirected 2-vertex-connected multigraph  $G = (V, E)$ .

**Output:** An undirected multigraph  $G^* = G + F$  with  $\lambda(G^*) \geq 4$  and  $\kappa(G^*) \geq 3$  where the size of new edge set  $F$  is the minimum.

#### Step I. (Adding vertex $s$ and associated edges):

After adding a new vertex  $s$ , we can add a set  $F_1$  of new edges between  $s$  and  $V$  so that  $|F_1| = \alpha(G)$  and

the resulting graph  $G_1 = (V \cup \{s\}, E \cup F_1)$  satisfies  $c_{G_1}(X) \geq 4$  for all cut  $X \subset V$ ,  $|\Gamma_{G_1}(X \cup s)| \geq 3$  for all cut  $X \subset V$  with  $V - X - \Gamma_{G_1}(X) \neq \emptyset$ .

**Step II. (Edge-splitting):** We find a complete edge-splitting at  $s$  in  $G_1$  which preserves the 4-edge-connectivity, according to Theorem 2.1. Ignore the isolated vertex  $s$  and denote the resulting graph  $G_2 = (V, E \cup F_2)$ .

If  $G_2$  is also 3-vertex-connected, then we are done because  $|F_2| = |F_1|/2 = \lceil \alpha(G)/2 \rceil$  implies that  $G_2$  is optimally augmented by lower bound  $\lceil \alpha(G)/2 \rceil$ . Otherwise, go to Step III.

**Step III. (Switching and Shifting edges):** Now  $G_2$  has separating pairs.

We repeat switching or shifting edges in  $F_2$  so that the resulting graph  $G'_2$  satisfies the following properties:

- the 4 edge-connectivity,
- $\kappa_{G'_2}(x, y) \geq 3$  for all  $x, y \in V$  with  $\kappa_{G_2}(x, y) \geq 3$ .
- $p(G'_2 - S) < p(G_2 - S)$  for some separating pairs  $S$ .

Let  $G_3 = (V, E \cup F_3)$  be the resulting graph, where  $F_3$  denotes the final  $F_2$ . Then in  $G_3$ , there is no separating pair  $S_1, S_2$  such that  $S_1 \cap S_2 = \emptyset$ .

If  $G_3$  has no separating pair, then we are done, since  $|F_3| = \lceil \alpha(G)/2 \rceil$  implies that  $G_3$  is optimally augmented. Otherwise, go to Step IV.

**Step IV. (Edge augmentation):** Now one of the following (i) or (ii) satisfy:

- (i) We can make  $G_3$  3-vertex-connected by adding a set  $F_4$  of  $\beta(G) - \lceil \alpha(G)/2 \rceil$  new edges, i.e., we are done since  $|F_3| + |F_4| = \beta(G)$  implies that the resulting graph is optimally augmented by lower bound  $\beta(G)$ .
- (ii) The input graph  $G$  can be made (4, 3)-connected by adding at most four edges.

**Theorem 3.1** *For a 2-vertex-connected multigraph  $G$ ,  $G$  can be made (4, 3)-connected by adding  $\gamma(G) = \max\{\lceil \alpha(G)/2 \rceil, \beta(G)\}$  new edges or at most four new edges in polynomial time.  $\square$*

### References

- [1] L. R. Ford and D. R. Fulkerson, *Flows in Networks*, Princeton University Press, Princeton, N. J., 1962.
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- [3] W. Mader, *A reduction method for edge-connectivity in graphs*, Ann. Discrete Math., Vol.3, 1978, pp. 145-164.