

## Augmenting edge-connectivity and vertex-connectivity simultaneously

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## 1 Introduction

Let  $G = (V, E)$  stand for an undirected multigraph with a set of  $V$  of *vertices* and a set  $E$  of *edges*, where an edge with end vertices  $u$  and  $v$  is denoted by  $(u, v)$ . For two disjoint subsets  $X, Y \subset V$ , we denote by  $E_G(X, Y)$  the set of edges, one of whose end vertices is in  $X$  and the other is in  $Y$ , and by  $c_G(X, Y)$  the number of edges in  $E_G(X, Y)$ . In particular,  $E_G(u, v)$  implies the set of edges with end vertices  $u$  and  $v$ , and  $c_G(u, v) = |E_G(u, v)|$ . We denote  $n = |V|$ ,  $e = |E|$ . For a vertex set  $X \subset V$ ,  $\{v \in V \mid (u, v) \in E \text{ for some } u \in X\}$  is called a neighbor set of  $X$ , denoted by  $\Gamma_G(X)$ . A *cut* is defined as a subset  $X$  of  $V$  with  $\emptyset \neq X \neq V$ , and the *size* of cut  $X$  is denoted by  $c_G(X, V - X)$ , which may also be written as  $c_G(X)$ . If  $X = \{x\}$ ,  $c_G(x)$  denotes the degree of vertex  $x$ . A cut with the minimum size is called a (*global*) *minimum cut*, and its size, denoted by  $\lambda(G)$ , is called the *edge-connectivity* of  $G$ . The local edge-connectivity  $\lambda_G(x, y)$  for two vertices  $x, y \in V$  is defined to be the minimum size of a cut in  $G$  that separates  $x$  and  $y$ . It is known that there are  $\lambda_G(x, y)$  edge-disjoint paths in  $G$  for every  $x, y \in V$  [1]. A *separator* is defined as a subset  $S$  of  $V$  with  $\emptyset \neq S \neq V$  such that the removal of  $S$  in  $G$  leaves at least two components. If  $G \neq K_n$ , a separator with the minimum size is called a (*global*) *minimum separator*, and its size, denoted by  $\kappa(G)$ , is called the *vertex-connectivity* of  $G$ . If  $G = K_n$ , define  $\kappa(G) = n - 1$ . The local vertex-connectivity  $\kappa_G(x, y)$  for two vertices  $x, y \in V$  is defined to be the number of vertex-disjoint paths between  $x$  and  $y$ , and  $\kappa(G) = \min\{\kappa_G(x, y) \mid x, y \in V\}$  holds. For a separator  $S$ ,  $\beta_S$  denotes the number of components in  $G - S$ . Let  $\beta(G) := \max\{\beta_S : S \text{ is a minimum separator in } G\}$ .

Given (i) a multigraph  $G = (V, E)$ , (ii) the demand function  $r_1(x, y) \in Z^+$  ( $Z^+$ : the set of non-negative integers) for each  $x, y \in V$ , (iii) the demand function  $r_2(x, y) \in Z^+$  for each  $x, y \in V$ , the *edge and vertex-connectivities augmentation*

*problem*, denoted by *EVAP* asks to augment  $G$  by adding the smallest number of new edges to  $G$  so that the resulting graph  $G'$  satisfies  $\lambda_{G'}(x, y) \geq r_1(x, y)$  for each  $x, y \in V$  and  $\kappa_{G'}(x, y) \geq r_2(x, y)$  for each  $x, y \in V$ . When the demand functions  $r_1$  and  $r_2$  satisfy  $r_1(x, y) = k \in Z^+$  for each  $x, y \in V$  and  $r_2(x, y) = l \in Z^+$  for each  $x, y \in V$  respectively, this problem is denoted by *EVAD*( $k, l$ ). Without loss of generality,  $k \geq l$  is assumed. When  $r_2(x, y) = 0$  holds for each  $x, y \in V$ , this problem implies the *edge-connectivity augmentation problem*. When  $r_1(x, y) = 0$  holds for each  $x, y \in V$ , this problem implies the *vertex-connectivity augmentation problem*.

## 2 Definitions

A *partition*  $X_1, \dots, X_t$  of vertex set  $V$  means a family of disjoint nonempty subsets of  $V$  whose union is  $V$ , and a *subpartition* of  $V$  means a partition of a subset of  $V$ .

## 2.1 Edge-Splitting

We introduce a tool that is helpful to solve the edge-connectivity augmentation problem, called *edge-splitting*.

Given a multigraph  $G = (V, E)$ , a designated vertex  $s \in V$ , vertices  $u, v \in \Gamma_G(s)$ , and a nonnegative integer  $\delta \leq \min\{c_G(s, u), c_G(s, v)\}$ , we construct graph  $G' = (V, E')$  from  $G$  by deleting  $\delta$  edges from  $E_G(s, u)$  and  $E_G(s, v)$ , respectively, and adding new  $\delta$  edges to  $E_G(u, v)$ . That is to say,  $G'$  satisfies  $c_{G'}(s, u) := c_G(s, u) - \delta$ ,  $c_{G'}(s, v) := c_G(s, v) - \delta$ ,  $c_{G'}(u, v) := c_G(u, v) + \delta$ , and  $c_{G'}(x, y) := c_G(x, y)$  for all other pairs  $x, y \in V$ . A splitting is *complete* if  $G'$  does not have any neighbor of  $s$ .

**Theorem 2.1** [2] *Let  $G = (V, E)$  be a multigraph with a designated vertex  $s \in V$  with  $c_G(s) \neq 1, 3$  and  $\lambda_G(x, y) \geq 2$  for every pair  $x, y \in V - s$ . Then for each edge  $(s, u) \in E$  there is edge  $(s, v) \in E$  such that  $\lambda_{G'}(x, y) \geq k$  for every pair  $x, y \in$*

$V - s$  where  $G'$  is the resulting graph obtained by splitting  $(s, u)$  and  $(s, v)$ .  $\square$

This says that if  $c_G(s)$  is even, there is always a sequence of pairs of edges, whose splitting together give rise to a complete feasible splitting at  $s$ .

### 3 The EVAP( $k, 2$ )

In this section, we show that the EVAP( $k, 2$ ) with an arbitrary  $k$  can be solved in polynomial time when the input graph is connected. In case of the input graph is not connected, the EVAP( $k, 2$ ) can be solved in the same manner, but for simplicity, we assume that the input graph is connected. The EVAP( $k, 2$ ) when the input graph is connected is the following:

Input: A connected multigraph  $G = (V, E)$   
and an integer  $k \geq 2$ ,

Output:  $G' = (V, E \cup F)$  where  $F$  is the smallest number of edge set with  
 $\lambda(G') \geq k$  and  
 $\kappa(G') \geq 2$ .  $\square$

To solve EVAP( $k, 2$ ), it is necessary to add at least  $k - c_G(X)$  edges to  $E_G(X, V - X)$  for each  $\emptyset \neq X \subset V$ , to add at least  $2 - |\Gamma_G(X)|$  edges to  $E_G(X, V - X)$  for each  $\emptyset \neq X, V - X - \Gamma_G(X) \subset V$ , and to add at least  $b_S - 1$  edges to connect components of  $G - S$  for each separator  $S$ . Hence a lower bound of the number that must be added to  $G$  to solve this problem is presented as follows:

**The Lower Bound**  $\gamma(G)$ :

$$\gamma(G) = \max\{\lceil \alpha(G)/2 \rceil, \beta(G) - 1\} \text{ where}$$

$$\alpha(G) = \max\left\{\sum_{i=1}^p (k - c_G(X_i)) + \sum_{i=p+1}^q (2 - |\Gamma_G(X_i)|)\right\},$$

$\{X_1, \dots, X_q\}$  is a subpartition of  $V$  with  $\emptyset \neq V - X_i - \Gamma_G(X_i)$ ,  $i = p + 1, \dots, q$   $\square$

We show that the following main theorem holds.

**Theorem 3.1** *Given a connected graph  $G$  and an integer  $k \geq 2$ ,  $G$  can be made  $k$ -edge-connected and biconnected by adding  $\gamma(G)$  new edges.  $\square$*

Before proving this theorem, we will show some lemmas.

**Lemma 3.1** *Let  $G = (V, E)$  be a multigraph. We can augment  $G$  by adding a new vertex  $s$  and  $\alpha(G)$  new edges between  $s$  and  $V$  so that the resulting graph  $G_1 = (V \cup \{s\}, E \cup F_1)$  satisfies  $\lambda_{G_1}(x, y) \geq k$  and  $\kappa_{G_1}(x, y) \geq 2$  for each  $x, y \in V$ , where  $F_1$  denotes added the set of new edges.  $\square$*

**Lemma 3.2** *Let  $G = (V, E)$  and  $G_2 = (V \cup \{s\}, E \cup F)$ , where  $F = E_{G_2}(s, V)$ , and assume that (i)  $\lambda_{G_2}(x, y) \geq k$  and (ii)  $\kappa_{G_2}(x, y) \geq 2$  hold for every  $x, y \in V$ . If  $c_{G_2}(s)$  is even and  $\lceil \alpha(G)/2 \rceil \geq \beta(G) - 1$  holds, then there is a complete splitting at  $s$  without violating (i) and (ii).  $\square$*

#### The Proof of Theorem 3.1

**Case-(1)**  $\lceil \alpha(G)/2 \rceil \geq \beta(G) - 1$

From Lemma 3.1, we can obtain  $G_1 = (V \cup \{s\}, E \cup F_1)$  with  $\lambda_{G_1}(x, y) \geq k$  and  $\kappa_{G_1}(x, y) \geq 2$  for each  $x, y \in V$  and  $|F_1| = \alpha(G)$ . From Lemma 3.2, we can obtain  $G^* = (V, E \cup F^*)$  with  $\lambda(G^*) \geq k$  and  $\kappa(G^*) \geq 2$  by a complete splitting at  $s$  where edge set  $F^*$  is obtained by the splitting.

**Case-(2)**  $\lceil \alpha(G)/2 \rceil < \beta(G) - 1$

Similarly to Case-(1), we first obtain  $G_1 = (V \cup \{s\}, E \cup F_1)$  with  $\lambda_{G_1}(x, y) \geq k$  and  $\kappa_{G_1}(x, y) \geq 2$  for each  $x, y \in V$  and  $|F_1| = \alpha(G)$ . From the proof of Lemma 3.2 (details are omitted), we can show that there is a complete splitting at  $s$  so that  $\lambda(G^* \cup F') \geq k$  and  $\kappa(G^* \cup F') \geq 2$  hold where  $G^* = (V, E \cup F^*)$  is the resulting graph obtained by a complete splitting at  $s$  and  $F'$  is a new edge set with  $|F'| = \beta(G) - 1 - \lceil \alpha(G)/2 \rceil$ .

From above, we can show that EVAP( $k, 2$ ) can be solved in polynomial time.  $\square$

**Remarks** Even if the input graph is not connected, the EVAP( $k, 2$ ) can be solved in polynomial time similarly to the above procedure. Moreover, even if the demand function  $r_1(x, y)$  for edge-connectivity is given individually for each pair  $x, y \in V$  instead of  $r_1(x, y) = k$  for every pair  $x, y \in V$ , this edge and vertex-connectivities augmentation problem can be solved in polynomial time, similarly to the above procedure.  $\square$

### References

- [1] L. R. Ford and D. R. Fulkerson, *Flows in Networks*, Princeton University Press, Princeton, N. J., 1962.
- [2] W. Mader, *A reduction method for edge-connectivity in graphs*, Ann. Discrete Math., Vol.3, 1978, pp. 145-164.